

NORTHERN SPIRITS LIMITED



CIN: L15500WB2012PLC185821

Registered Office : 5A, Woodburn Park Road, Woodburn Central Unit-603, 6th Floor, Kolkata-700020
Ph: 033-35446094; E-mail: info@northernspirit.in; Website: www.northernspirits.co.in

To
The Listing Operations Department,
BSE Limited,
Phirozee Jeejeebhoy Towers,
Dalal Street, Mumbai-400001
INDIA
SCRIP CODE- 542628

Date: 05.07.2024

Respected Sir/Madam,

Sub: Undertaking for Non-Applicability of Corporate Governance

As per Regulation 15 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 the provision of Chapter IV of the Listing Regulations, 2015 shall be applicable to all companies whose specified securities are listed on any recognised stock exchange either on the main board or on SME Exchange or on institutional trading platform. However, the Compliance with the corporate governance provisions as specified in Regulations 17, 17A, 18, 19, 20, 21, 22, 23, 24, 24A, 25, 26, 27 and clauses (b) to (i) of sub-regulation (2) of Regulation 46 and Para C, D and E of Schedule V shall not be mandatory for the time being in respect of the following class of Companies.

- A. Companies having paid up equity share capital not exceeding INR 10 crore and net worth not exceeding INR 25 crore as on the last day of previous financial year;
- B. The Listed Entity which has listed its specified securities on the SME Exchange.

In view of the aforesaid, I the undersigned **Pankaj Khanna, Company Secretary and Compliance officer** of **M/s. Northern Spirits Limited** hereby certify that Compliances on Corporate Governance is not applicable to the Company which is within the prescribed limit of Regulation 15(2) of SEBI (Listing Obligation and Disclosures Requirements) Regulations, 2015 as our Company is listed on SME Exchange.

We are hereby attaching a certificate from the Practising Company Secretary in support of this submission.

Kindly acknowledge the same.

Thanking You,

Yours faithfully
For Northern Spirits Limited

Pankaj Khanna
COMPANY SECRETARY
M. No: A27867

Encl as above:



ANURAG FATEHPURIA

COMPANY SECRETARIES

(A Peer Reviewed Firm)

4/B/1, Salkia School Road,

Raghav River View Apartment, Howrah – 711106

Email ID: af2011@rediffmail.com; Contact: 9883808096

CERTIFICATE FROM PRACTISING COMPANY SECRETARY

NON-APPLICABILITY OF CORPORATE GOVERNANCE REPORT UNDER REGULATION 27(2) OF THE SEBI (LODR) REGULATIONS, 2015 FOR THE QUARTER ENDED JUNE 30, 2024.

As per Regulation 15 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 the provision of Chapter IV of the Listing Regulations, 2015 shall be applicable to all companies whose specified securities are listed on any recognised stock exchange either on the main board or on SME Exchange or on institutional trading platform. However, the Compliance with the corporate governance provisions as specified in Regulations 17, 17A, 18, 19, 20, 21, 22, 23, 24, 24A, 25, 26, 27 and clauses (b) to (i) of sub-regulation (2) of Regulation 46 and Para C, D and E of Schedule V shall not be mandatory for the time being in respect of the following class of Companies.

- A. Companies having paid up equity share capital not exceeding INR 10 crore and net worth not exceeding INR 25 crore as on the last day of previous financial year;
- B. The Listed Entity which has listed its specified securities on the SME Exchange.

In view of the aforesaid, I **Anurag Fatehpuria, Practising Company Secretary** hereby certify that Compliances on Corporate Governance is not applicable to the Company (**M/s Northern Spirits Limited**) which is within the prescribed limit of Regulation 15(2) of SEBI (Listing Obligation and Disclosures Requirements) Regulations, 2015 as the Company is listed on SME Exchange.



Anurag Fatehpuria

Anurag Fatehpuria
Practising Company Secretary
Cop No 12855
UDIN: A034471F000679170

Date: 05.07.2024

Place: Kolkata